

FORM PTO/SB/08A/B (10-01)
Substitute for PTO-1449A/B

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Attorney Docket Number

41232/RRT/S850

Application Number

07/12/069

Filing Date

February 16, 2001

Applicant(s)

Ortho Weisberg et al.

Group Art Unit

3621

Examiner Name

Firmin Backer

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EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
P-6		4,933,849	06-12-1990	CONNELL, et al.
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P-6		WO 98/57302	12-17-1998	GRAVELL, et al.	
		EP 0 840 258 A2	05-06-1998	RYAN	
		EP 0 948 158 A2	10-06-1999	BAUM	

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P-6		PASTOR, Jose; CRYPTOPOST™ - A Cryptographic Application to Mail Processing; Journal of Cryptology; 1991; 137-146pp.; Vol. 3; Number 2; International Association for Cryptologic Research
		The United States Postal Service (USPS) Engineering Center; INFORMATION BASED INDICIA PROGRAM (IBIP) INDICIUM SPECIFICATION; June 13, 1996; 22pp.
		The United States Postal Service (USPS); INFORMATION-BASED INDICIA PROGRAM (IBIP): PERFORMANCE CRITERIA FOR INFORMATION-BASED INDICIA AND SECURITY ARCHITECTURE FOR CLOSED IBI POSTAGE METERING SYSTEMS (PCIBI-C); January 12, 1999; 49pp.
		INFORMATION-BASED INDICIA PROGRAM (IBIP); PERFORMANCE CRITERIA FOR INFORMATION-BASED INDICIA AND SECURITY ARCHITECTURE FOR OPEN IBI POSTAGE EVIDENCING SYSTEMS (PCIBI-O); June 25, 1999; 76pp.
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Applicant(s)

Seth Weisberg et al.

Group Art Unit

3621

Examiner Name

Firmin Backer

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U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
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EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)
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Charles J. Smith

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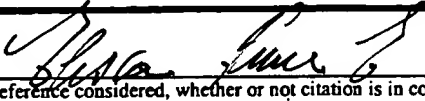
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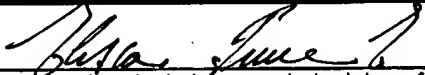
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EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
P.E.		United States Postal Service, "Information Based Indicia Program Postal Security Device Specification" June 13, 1996 (21 sheets)

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EXAMINER SIGNATURE		DATE CONSIDERED	10/18/04
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FORM PTO/SB/08A/B (10-01)
Substitute for PTO-1449A/B

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number

41232/SAH/S850

Application Number

09/788,069

Filing Date

February 16, 2001

Applicant(s)

Seth Weisberg, et al.

Group Art Unit

3621

Examiner Name

Fimin Backer

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
P.E.		5,621,797	04-15-1997	Rosen
		5,680,629	10-21-1997	Slayden et al.
		US 6,353,926 B1	03-05-2002	Parthesarathy et al.

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P.E.		RATCLIFFE, Mitch, "Ever feel you're being watched? You will."; <i>Digital Media</i> ; May 16, 1994; v3, n12, 3pgs.

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Sheet 1 of 1

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<i>Pa</i>		6,081,810	06-27-2000	Rosenzweig et al.
		6,151,591	11-21-2000	Pierce et al.
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Sheet 1 of 1

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Applicant(s)	Seth Weisberg, et al.
Group Art Unit	3621
Examiner Name	Firmin Backer

U.S. PATENT DOCUMENTS

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P.B.		4,447,890	05-08-1984	Duwel et al.
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Applicant(s)	Seth Weisberg, et al.
Group Art Unit	3621
Examiner Name	Firmin Backer

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FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Attorney Docket Number	41232/SAH/S850
	Application Number	09/788,069
	Filing Date	February 16, 2001
	Applicant(s)	Seth Weisberg, et al.
	Group Art Unit	3621
	Examiner Name	Firmin Backer

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
P.E.		6,385,654 B1	05-07-2002	Tanaka
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P.E.		EP 0 604 146 A3	06-29-1994	Herbert	
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		EP 0 647 925 A2	04-12-1995	Pintsov, et al.	
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Attorney Docket Number	41232/SAH/S850
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Applicant(s)	Seth Weisberg, et al.
Group Art Unit	3621
Examiner Name	Firmin Backer

FOREIGN PATENT DOCUMENTS

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P.R.		WO 94/27258 A1	11-24-1994	Rhoades et al.	
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		WO 01/50227 A2	07-12-2001	Mattern, et al.	

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P.E.		5,075,865	12-24-1991	KAWAMURA, et al.

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P.E.		EP 0 360 225 A2	03-28-1990	PASTOR	
		EP 0 576 113 A2	12-29-1993	HEINRICH, et al.	

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P.E. ↓		5,384,886	01-24-1995	Rourke
		6,098,058	08-01-2000	Gravell et al.

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Sheet 1 of 1

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<i>P.F.</i>		4,837,702	06-06-1989	OBREA
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<i>P.F.</i>		U.S. Application Serial No. 09/585,025 filed June 1, 2000, "Online Value Bearing Item Printing", 125 pp.
		U.S. Application Serial No. 09/688,451 filed October 16, 2000, "Auditing Method and System for an On-Line Value-Bearing Item Printing System, 105pp.
		U.S. Application Serial No. 09/688,452 filed October 16, 2000, "Role Assignments in a Cryptographic Module for Secure Processing of Value-Bearing Items", 105pp.
		U.S. Application Serial No. 09/688,456 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 109pp.

EXAMINER SIGNATURE	<i>Blanca L. Miller</i>	DATE CONSIDERED	<i>4/30/02</i>
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<i>P.F.</i>		U.S. Application Serial No. 09/690,066 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 121pp.
		U.S. Application Serial No. 09/690,083 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 109pp.
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		U.S. Application Serial No. 09/692,746 filed October 18, 2000, "Method and Apparatus for Digitally Signing an Advertisement Area Next to a Value-Bearing Item", 61pp.
		U.S. Application Serial No. 09/692,829 filed October 18, 2000, "Postal System Intranet and Commerce Processing for On-Line Value-Bearing System", 179pp.
		U.S. Application Serial No. 10/083,236 filed February 26, 2002, "Secured Centralized Public Key Infrastructure", 101pp.

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<p>Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE</p>			

FORM PTO/SB/08A/B (10-01)
Substitute for PTO-1449A/B

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Attorney Docket Number	41232/S850
Application Number	09/788,069
Filing Date	February 16, 2001
Applicant(s)	Seth Weisberg, et al.
Group Art Unit	3621
Examiner Name	Firmin Backer

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
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		6,009,417	12-28-1999	BROOKNER et al.
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		US 2005/0114712 A1	05-26-2005	DEVINE et al.

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EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
<i>P.R.</i>		FICKEL, Louise, "Know Your Customer," 100 Leaders for the Next Millennium, CIO Magazine, 08/15/1999, 10pp.
		SAGNER, James S., "Protecting Organizations from Electronic-Transaction Fraud", Healthcare Financial Management; Westchester; Feb 1995; 2pp.

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P.R.		WO 98/57302	12-17-1998	GRAVELL, et al.	
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OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
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		The United States Postal Service (USPS) Engineering Center; INFORMATION BASED INDICIA PROGRAM (IBIP) INDICIUM SPECIFICATION; June 13, 1996; 22pp.
		The United States Postal Service (USPS); INFORMATION-BASED INDICIA PROGRAM (IBIP): PERFORMANCE CRITERIA FOR INFORMATION-BASED INDICIA AND SECURITY ARCHITECTURE FOR CLOSED IBI POSTAGE METERING SYSTEMS (PCIBI-C); January 12, 1999; 49pp.
		INFORMATION-BASED INDICIA PROGRAM (IBIP); PERFORMANCE CRITERIA FOR INFORMATION-BASED INDICIA AND SECURITY ARCHITECTURE FOR OPEN IBI POSTAGE EVIDENCING SYSTEMS (PCIBI-O); June 25, 1999; 76pp.
		TYGAR, J.D. and YEE, Bennet; Cryptography: It's Not Just For Electronic Mail Anymore; School of Computer Science; March 1, 1993; 1-21pp.; Carnegie Mellon University, Pittsburg, PA, USA

EXAMINER SIGNATURE	<i>John L. ...</i>	DATE CONSIDERED	<i>4/30/07</i>
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	Group Art Unit	3621
	Examiner Name	Firmin Backer

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<i>P.K.</i>		TYGAR, J.D. and YEE, Bennet; Dyad: A System for Using Physically Secure Coprocessors; School of Computer Science; May 4, 1991; 1-36pp.; Carnegie Mellon University, Pittsburg, PA, USA

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Seth Weisberg, et al.

Group Art Unit

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Examiner Name

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EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)

OTHER DOCUMENTS

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<i>P.R.</i>		United States Postal Service, "Information Based Indicia Program Postal Security Device Specification" June 13, 1996 (21 sheets)

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EXAMINER SIGNATURE	<i>[Signature]</i>	DATE CONSIDERED	<i>4/30/07</i>
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FORM PTO/SB/08A/B (10-01)
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EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
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<i>PJB</i>		EP 0 780 809 A2	06-25-1997	Cordery et al.	
		EP 0 927 957 A2	07-07-1999	Malandra, et al.	

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		USPS Publication No. 25, "Designing Letter Mail", August 1995, 86 pages.

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